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## BIB DATA SHEET

CONFIRMATION NO. 5247

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
10/632,818	08/04/2003	705	3691	2955/107		
<b>RULE</b>						
<b>APPLICANTS</b> William R. Hambrecht, San Francisco, CA; Othar Hansson, Union City, CA; Jordan Hayes, Berkeley, CA; Alan Katz, Dallas, TX; Charles Ocheret, Scarsdale, NY; Matt Regan, Wayne, PA;						
<b>** CONTINUING DATA *****</b> This application is a CON of 09/347,949 07/06/1999 PAT 6,629,082 <i>AK KB</i> which claims benefit of 60/139,511 06/15/1999 <i>add</i> and claims benefit of 60/140,293 06/18/1999 <i>add</i>						
<b>** FOREIGN APPLICATIONS *****</b> <i>none</i>						
<b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** SMALL ENTITY **</b> 10/31/2003						
Foreign Priority claimed	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Met after Allowance	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119(a-d) conditions met	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		CA	14	32	3
Verified and	/KELLY SCAGGS CAMPEN/ Examiner's Signature	Initials				
<b>ADDRESS</b> BROMBERG & SUNSTEIN LLP 125 SUMMER STREET BOSTON, MA 02110-1618 UNITED STATES						
<b>TITLE</b> Auction system and method for pricing and allocation during capital formation						
<b>FILING FEE RECEIVED</b> 483	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees		
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